

## Alcohol Treatment Failure Matrix

CASE	ACTION	DETERMINATION
Any member who incurs an AI any time in their career after a period of treatment at Level 1 or above that was precipitated by a prior incident.	Process for ADSEP	Commanding Officer
Any member who incurs a second DUI/DWI at any time in their career shall be processed for ADSEP. One or more DUIs prior to the signing of OPNAVINST 5350.4D is counted as one DUI.	Process for ADSEP	Commanding Officer
Any alcohol incident after two periods of treatment during a career is viewed as a failure to complete a SARP and requires mandatory processing for ADSEP.	Process for ADSEP	Commanding Officer
A member self refers or is command referred after a period of treatment may receive a second period of treatment as prescribed by an appropriate medical officer or LIP at any period in their career. (Note 3) COs may recommend a second treatment when indicated. A SARP screening is required to determine amenability for another period of treatment.	Schedule screening at SARP	Commanding Officer
Any member who incurs an AI any time in their career after a period of treatment at Level 1 or above that was precipitated by a prior incident.	Schedule screening at SARP	Commanding Officer
Any member who has been screened by medical personnel and found to be in need of treatment, and who commences but subsequently fails to complete any prescribed treatment or incurs an AI during treatment. Conduct, which amounts to a refusal, failure to complete, or non-amenability shall be determined by the LIP.	Process for ADSEP	Medical Officer or LIP
Any member, who fails to participate in, fails to follow, or fails to successfully complete any medically prescribed and command-approved aftercare plan. This determination must be made by the member's commanding officer in consultation with the DAPA and SARP.	Process for ADSEP	Commanding Officer in consultation with the DAPA and SARP
Any member who returns to alcohol misuse and meets criteria for an alcohol use disorder as defined current Diagnostic and Statistical	Process for ADSEP	Commanding Officer in consultation with command DAPA, local SARP, and appropriate

Manual (DSM) IV at any time during their career following treatment, and is determined by an appropriate LIP to be non-amenable for treatment.		medical officer or LIP.
Any member, who, after successfully completing treatment, self-refers without any credible evidence of an alcohol related incident, is NOT considered a treatment failure. (Note 3)	Refer to a SARP for appropriate aftercare plan or treatment if necessary.	Commanding Officer
Any enlisted person determined to be alcohol dependent within 180 days of entry on active duty may be processed for ADSEP as an "Entry-level Separation."	Process for ADSEP	Commanding Officer
Any enlisted person determined to be alcohol dependent within 180 days of entry on active duty may be processed for ADSEP as an "Erroneous Enlistment" if evidence shows member concealed a disqualifying pre-service alcohol incident history.	Process for ADSEP	Commanding Officer
All applicants for appointment to officer candidate programs determined to be alcohol dependent.	Deny appointment.	
Officer candidates currently enrolled in a commissioning program who incurs an alcohol incident.	Discipline as appropriate, direct to SARP and other appropriate actions.	

Note 1: Commanding Officers may recommend a second treatment when indicated. A SARP screening is required to determine amenability for another period of treatment. Commands are responsible for the administrative actions following a determination of non-amenable for another period of treatment.

Note 2: Any waiver to this policy must be approved by NAVPERSCOM (PERS-832) via OPNAV (N135).

Note 3: Command or self-referral requires no credible evidence of an alcohol-related incident.